

Annual and Early Annual Ratings in the Performance Appraisal Application (PAA), Version 3

Step-by-Step Guide

Annual and Early Annual Ratings in PAAv3:

Step-by-Step Guide

Annual recommended ratings and early annual recommended ratings both follow the same process within the PAA. The primary difference is the time frame in which they are accomplished. An early annual recommended rating is done when an employee or a rating official moves from his or her position within the last 90 days of the rating cycle (in a situation where an annual rating is needed), whereas a regular annual recommended rating is done after the end of the cycle. So for an Early Annual Recommended Rating, the Appraisal Type needs to be changed to "Early Annual-NSPS" and the Appraisal Period End Date on the employee's performance plan needs to be changed to the day before the event that is creating the need for the early annual recommended rating (see slide 22).

These ratings are both considered <u>recommended</u> ratings because no rating is final until it has been approved by the Pay Pool Manager.

This guide shows the steps required to enter assessments and recommended ratings in the PAA for both of these purposes. All roles (employee, rating official, and higher the employee) begins the annual rating process by entering his or her self-assessment.

- If employees have ownership of their performance plan, they can enter their selfassessment and transfer the plan to the rating official (start on slide 9).
- If the rating official has ownership, he/she should return them to the employee(s) first; this can be done all at once using the "Apply Action(s) to Multiple Employees (PAA)". Starts on slide 4.

24 Jul 2009

Sequence of Events

Who	Action*	Slides
Rating Official	Transfer plans to employees	4-8
Employee	Add self-assessment, transfer to rating official	9-17
Rating Official	Review employee assessments, add supervisory assessments and recommended ratings, obtain higher lev el review	18-30
Higher Level Reviewer	Review assessments and recommend d ratings, approve or return for changes	e 31-38

* (linked in Slide Show mode)

Annual / Early Annual Rating: Rating Official Action

 Transfer performance plans to employees Transfer performance plans to employees (mass process):



Navigator My Workplace Mv Biz My Workplace All Actions Awaiting Your Attention Performance Appraisal Application (PAA) My Employee Information Update My Information Suspenses Apply Action(s) to Multiple Employees (PAA) Manage PAA Trusted Agent Authorization **NSPS Performance Management Reports** 2. Select Apply Actions to View/Print Performance Management Reports View Previous Requests Multiple Employees (PAA)

For individual plans:

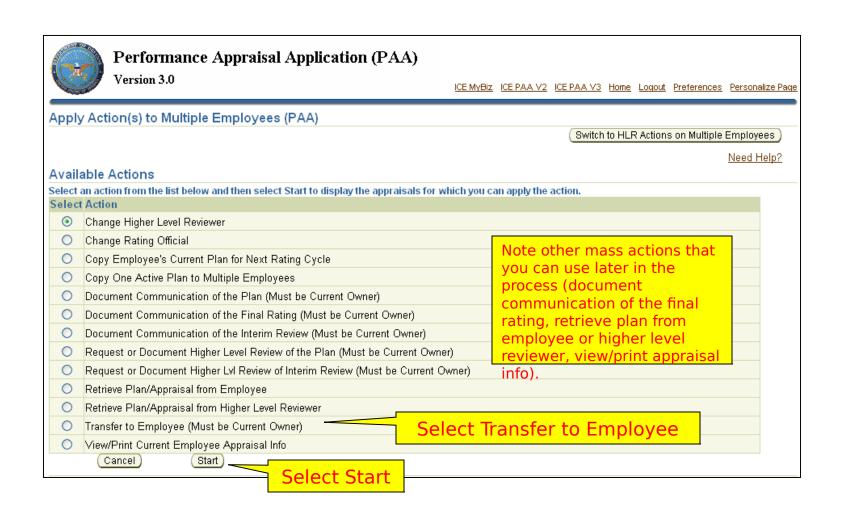
To transfer just one plan, select Performance Appraisal Application (PAA) on the Navigator screen; then on the PAA Main Page, find the employee, change the Action to "Transfer to Employee." and select the Go button:

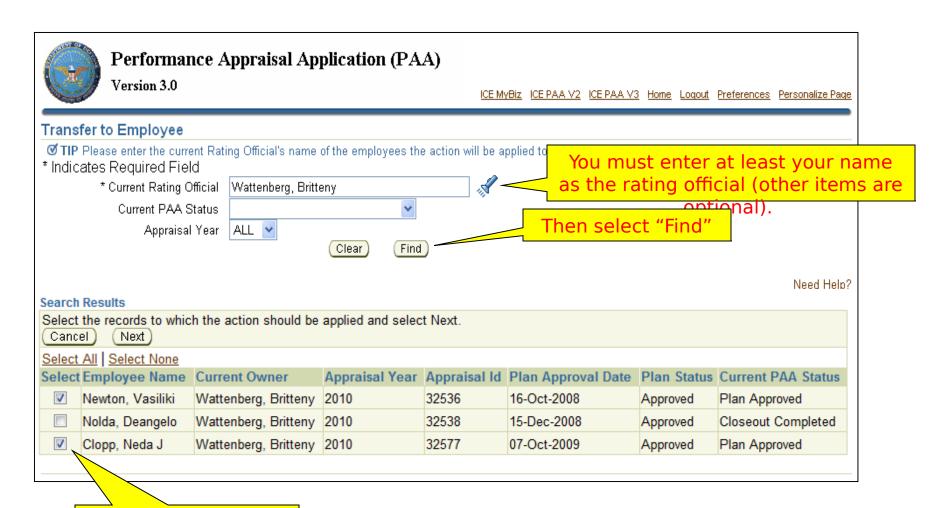
Action

Transfer to Employee

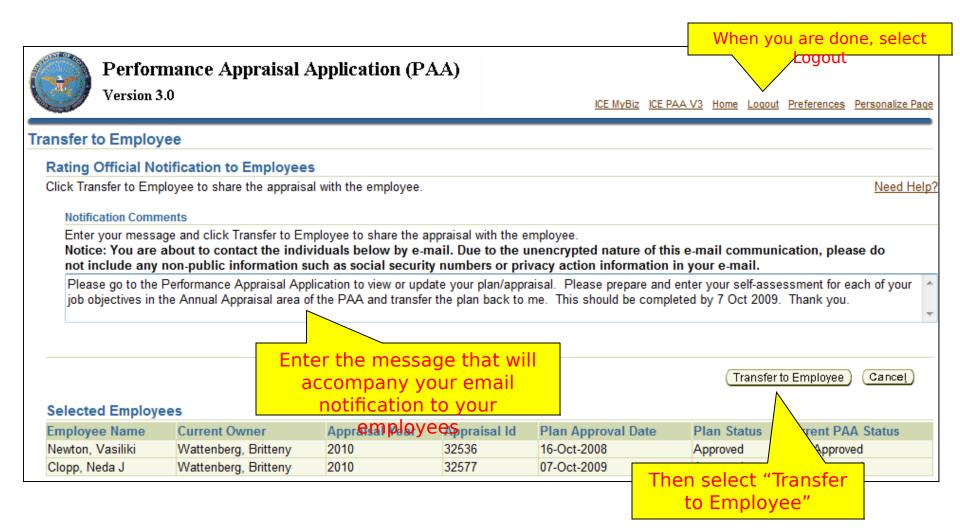
(Go

Update





Select all, or select individual employees, then select Next

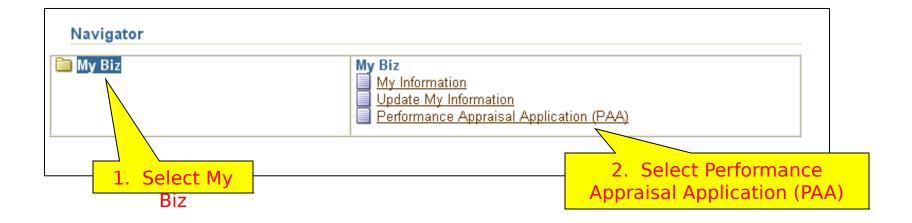


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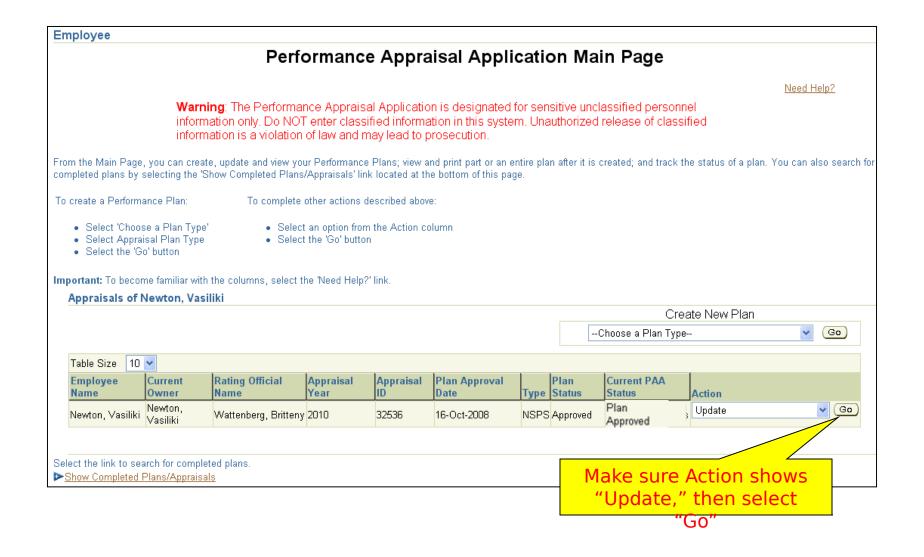
Annual / Early Annual Ratings: Employee Actions

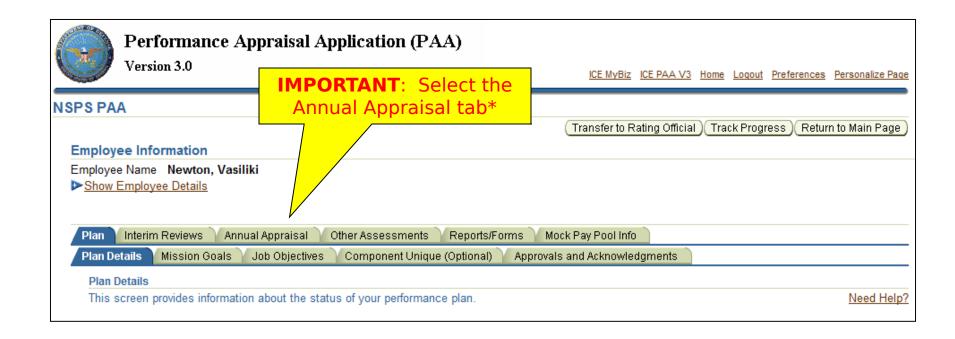
- Enter self-assessment for annual appraisal
- Transfer plan to rating official

Employee: Enter self-assessment for annual appraisal:



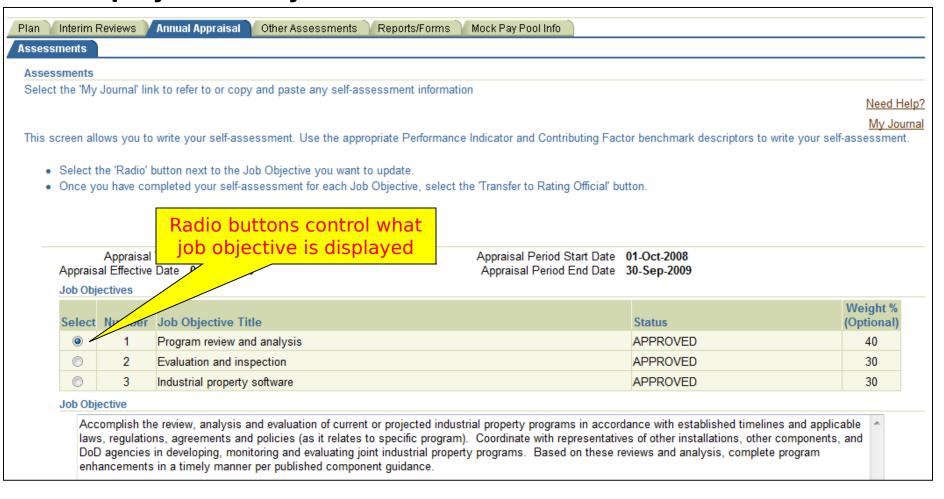
Follow the same steps for an annual OR early annual appraisal



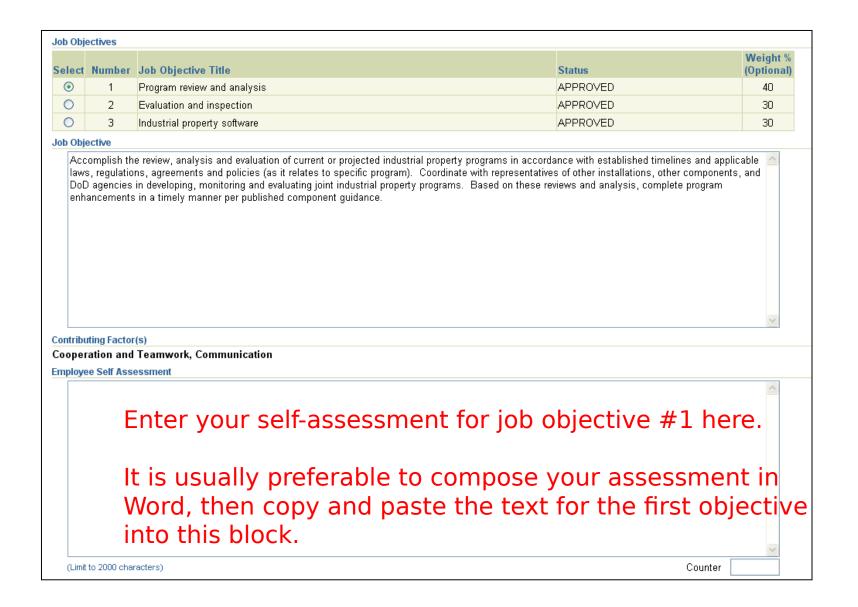


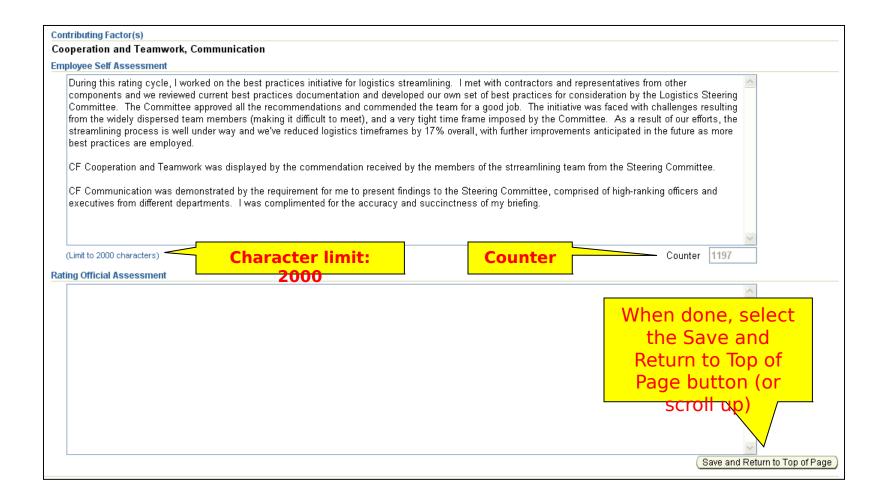
* Use the Annual Appraisal tab for an annual appraisal OR an early annual appraisal

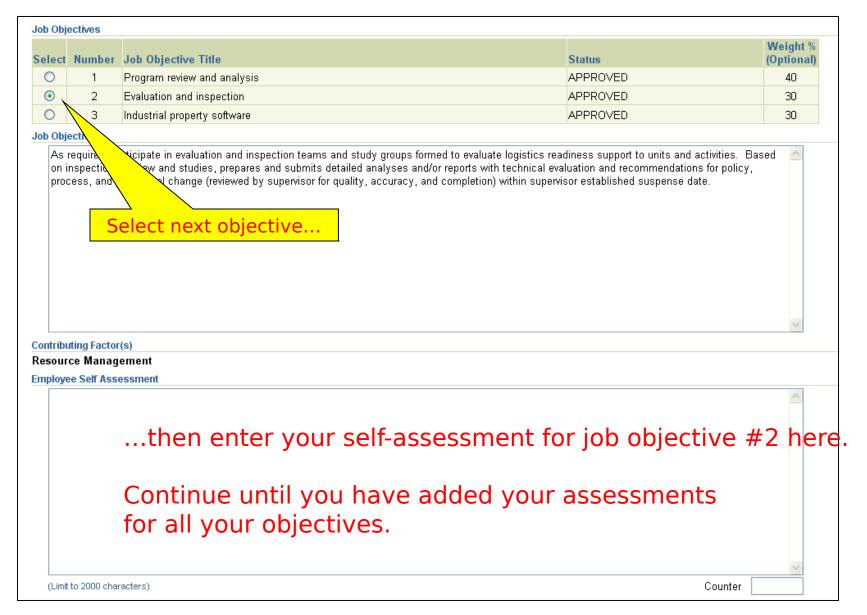
Employee: Add your self-assessment:



You will add your self-assessment one job objective at a time by selecting the radio button for each one... doing so displays that job objective and the block where you enter your assessment (see next slide)...







Employee: Transfer your plan back to your rating official:





Recommended Ratings: Rating Official Actions

- Review employee's self-assessment
- Add your assessment, recommended ratings, and recommended shares and distribution
- Enter comments on Army values
- Obtain or document higher level review



Rating Official/Higher Level Reviewer

Performance Appraisal Application Main Page

Need Help?

Warning: The Performance Appraisal Application is designated for sensitive unclassified personnel information only. Do NOT enter classified information in this system. Unauthorized release of classified information is a violation of law and may lead to prosecution.

From the Main Page, you can create, update and view employee Performance Plans; change the Rating Official and/or Higher Level Reviewer; view and print part or an entire plan after it is created; close a plan, and track the status of a plan.

You can also search for completed plans by selecting the 'Show Completed Plans/Appraisals' link located at the bottom of this page.

To create a Performance Plan:

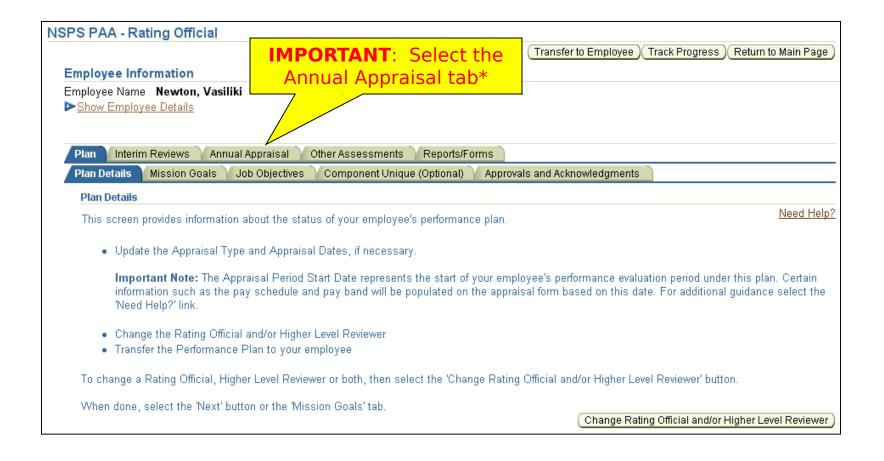
To complete other actions described above:

- · Select 'Choose a Plan Type'
- · Select 'Appraisal Plan Type'
- · Select the 'Go' button

- Select an option from the Action column
- Select the 'Go' button

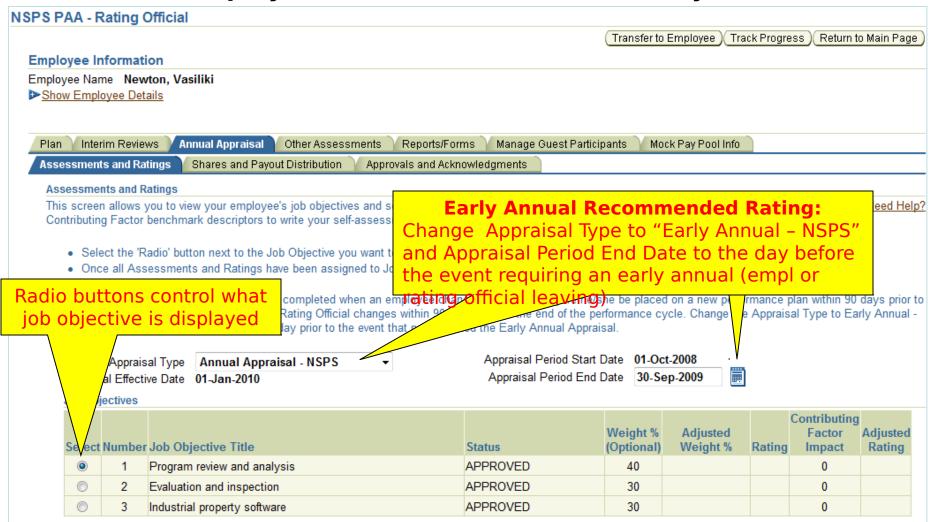
Important: To become familiar with the columns, select the 'Need Help?' link.





* Use the Annual Appraisal tab for an annual appraisal OR an early annual appraisal

Review the employee's self-assessment and add yours:

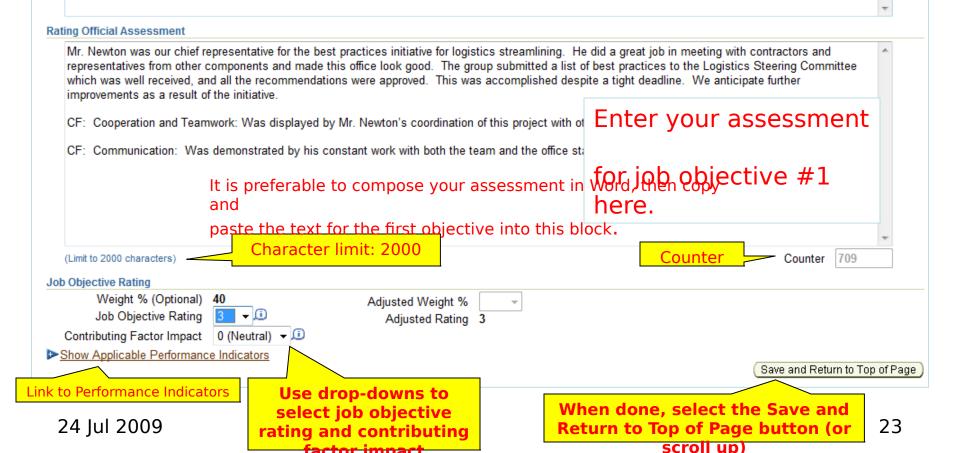


You will review the employee's self-assessment and add yours one job objective at a time by selecting the radio button for each one...doing so displays that job objective and the assessment blocks (see next slide)...

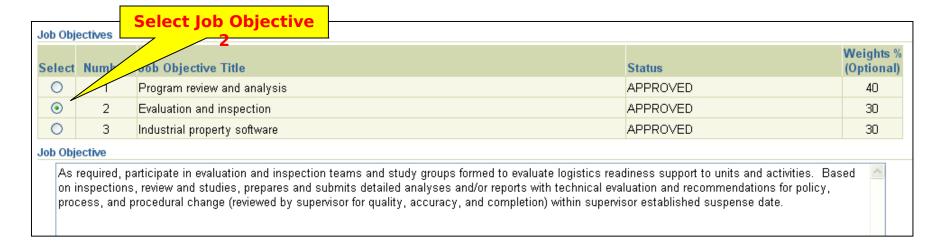
Employee Self Assessment

During this rating cycle, I worked on the best practices initiative for logistics streamlining. I met with contractors and representatives from other components and we reviewed current best practices documentation and developed our own set of best practices for consideration by the Logistics Steering Committee. The Committee approved all the recommendations and commended the team for a good job. The initiative was faced with challenges resulting from the widely dispersed team members (making it difficult to meet), and a very tight time frame imposed by the Committee. As a result of our efforts, the streamlining process is well under way and we've reduced logistics timeframes by 17% overall, with further improvements anticipated in the future as more best practices are employed.

Review employee's self-assessment for job objective #1 here.



factor impact



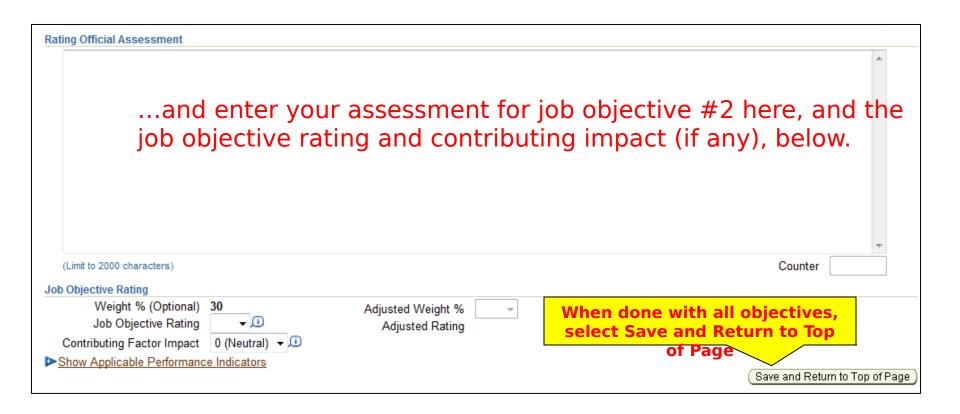
Employee Self Assessment

I participated in two teams to evaluate logistics readiness at this installation, one in the supply department and one in the maintenance department. For both the studies I prepared the final reports containing our findings, obtained team concurrence on the conclusions, and submitted them to the management within the time frames established.

CF Resource Management was above average in that the results of our team's work included sound recommendations that will improve logistical readiness and at the same time demonstrate fiscal responsibility.

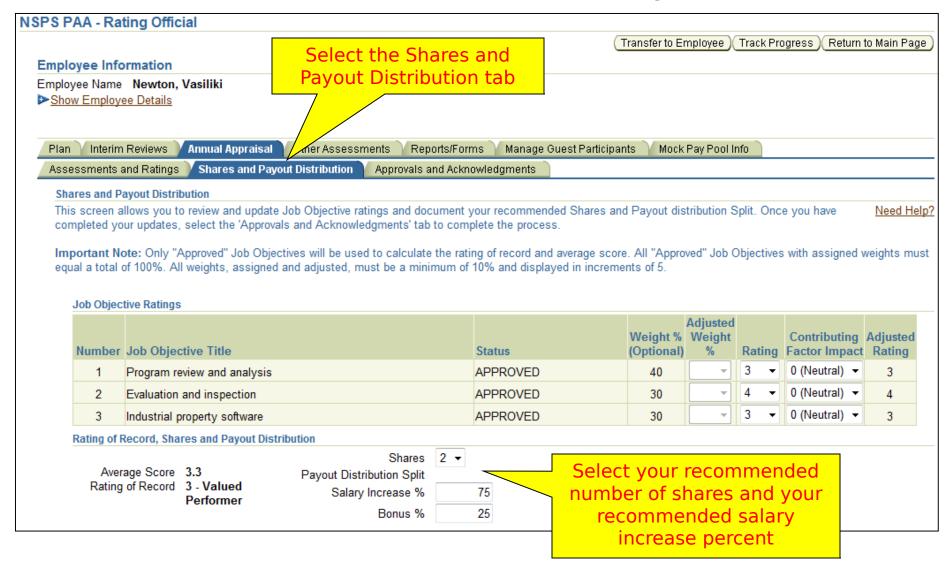
...then review employee's self-assessment for job objective #2 here...

Rating Official Assessment

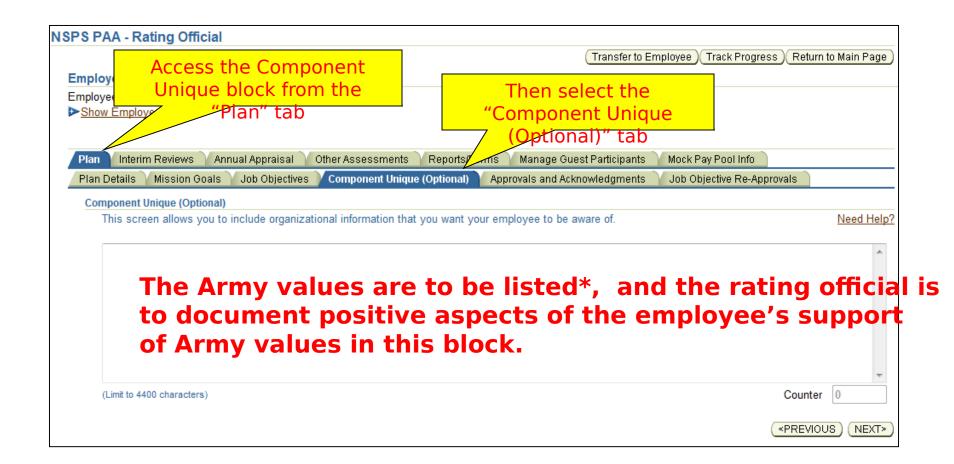


Continue until you have reviewed and added your assessments and recommended ratings for all objectives.

Enter recommended number of shares and payout distribution:

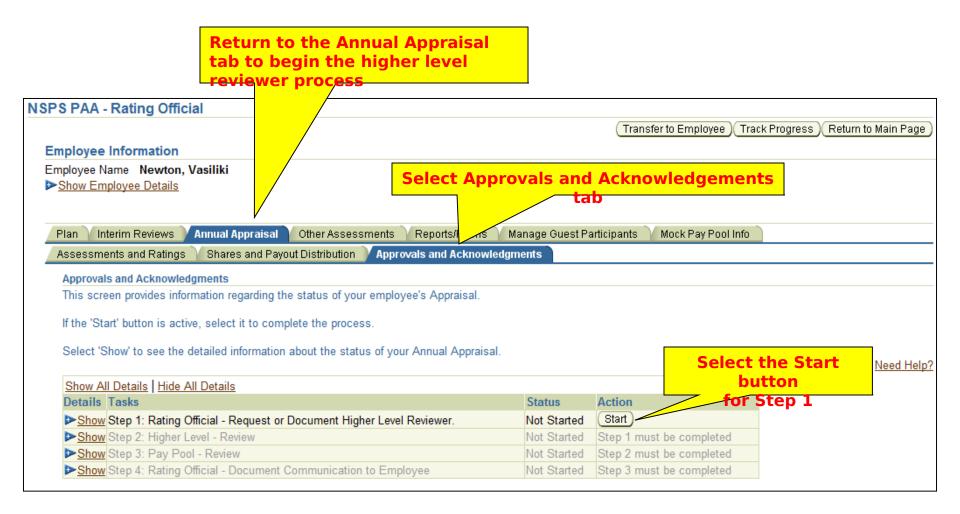


Rating Officials are required to address employee support of Army values in the Component Unique block (AP-SC 1940.5.2.1)



* Loyalty, Duty, Respect, Selfless Service, Honor, Integrity, Personal Courage

Initiate the higher level review process:



There are two options for documenting higher level review:

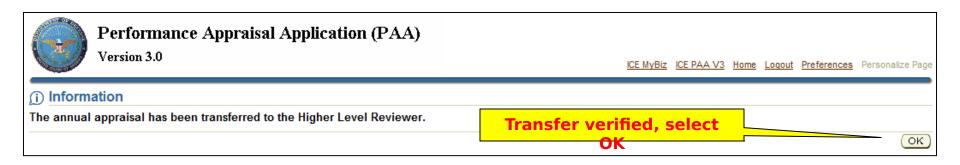
Option A: Transfer the plan to the higher level reviewer.

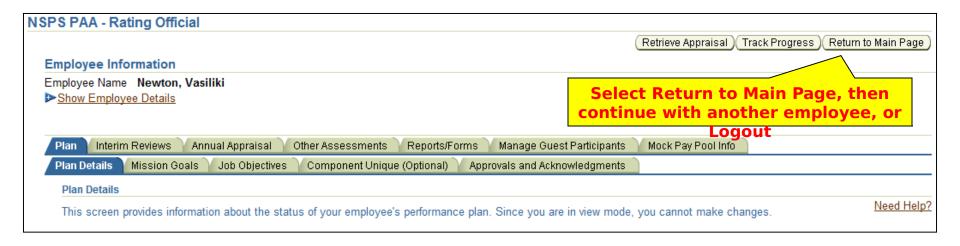
Option B: Document that the higher level review has taken place

(without actually

transferring the plan).

TIP There are two options available to complete this step. If you are both the Rating Official AND Higher Level Reviewer, use Option B to document the approval. Option A - Transfer to the Higher Level Reviewer (HLR) Name Date In either option, if this is not the right Wattenberg, Britteny Rating Official HLR name, replace it with the right Arqueta, Floyd C Higher Level Reviewer HLR name - enter some or all of the Change Higher Level Reviewer Arqueta, Floyd C last name and select the flashlight icon Message to Higher Level Reviewer This screen provides space for you to send a Higher Level Reviewer a message regarding an employee's Annual Appraisal. After writing the message, select the Transfer to Higher Level Reviewer with E-mail Notification' button to send the message Floyd, recommended rating for Vasiliki is ready for your review. **Option A: Select one of the Transfer** options (with or without email notification) Notice: You are about to contact null by e-mail. Due to the unencrypted nate e-mail communication, please do not include any non-public information such as social security numbers or privacy act information in your e-mail. Cancel Transfer to Higher Level Reviewer without E-mail Notification) (Transfer to Higher Level Reviewer with E-mail Notification) Option B - Document the higher level review has taken place by entering the following information: Important Note: The Higher Level Reviewer's signature must be obtained on a printed copy of the DD Form 2906 when using this option. A printed copy of the DD Form 2906 can be obtained on the Reports/Forms tab. Higher Level Reviewer Argueta, Floyd C Method of Review Review Date Other Method Save Cancel Step 2: Higher Level - Review Not Started Step 1 must be completed Show Step 3: Pay Pool - Review 2 must be completed Option B: Enter review date and method of review, Show Step 4: Rating Official - Docu 3 must be completed then select the Save button (also see note: hard copy of 29 24 Jul 2009 DD Form 2906 with higher level reviewer's signature required with Option B)

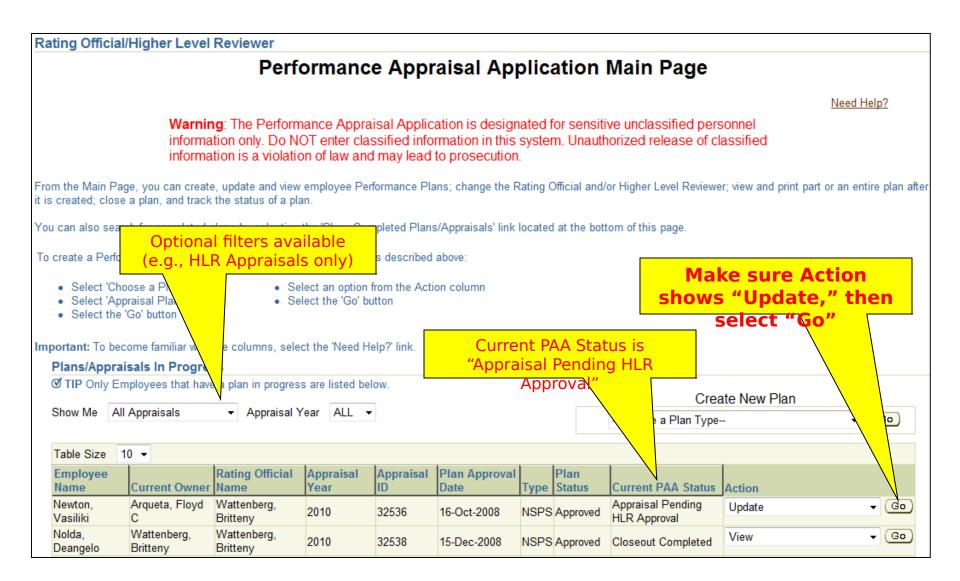


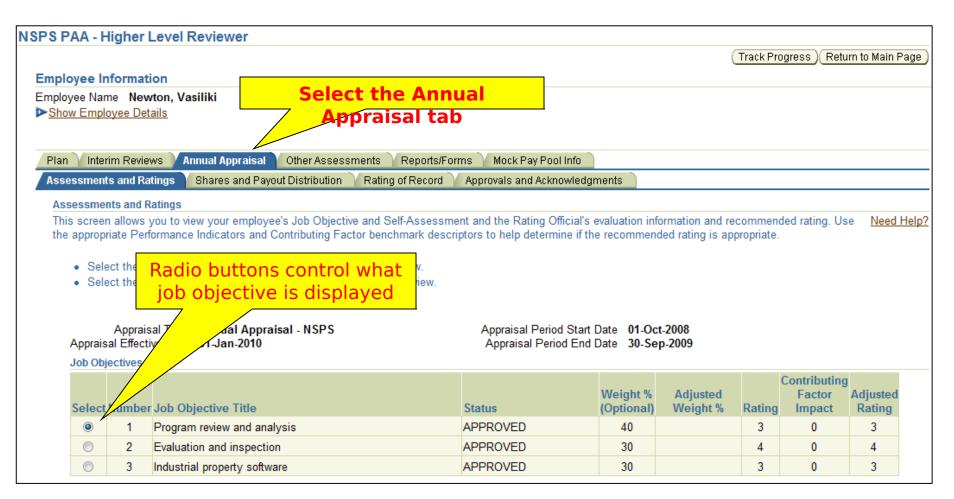


Recommended Ratings: Higher Level Reviewer Actions

- Review assessments and recommended ratings
- Approve the recommendations, or return for changes







You review the employee's self-assessment and the rating official's assessment and recommended rating one job objective at a time by selecting the radio button for each one...doing so displays that job objective and the assessment blocks (see next slide)...

Employee Self Assessment

During this rating cycle, I worked on the best practices initiative for logistics streamlining. I met with contractors and representatives from other components and we reviewed current best practices documentation and developed our own set of best practices for consideration by the Logistics Steering Committee. The Committee approved all the recommendations and commended the team for a good job. The initiative was faced with challenges resulting from the widely dispersed team members (making it difficult to meet), and a very tight time frame imposed by the Committee. As a result of our efforts, the streamlining process is well under way and we've reduced logistics timeframes by 17% overall, with further improvements anticipated in the future as more best practices are employed.

Employee self-assessment for this objective

Rating Official Assessment

Mr. Newton was our chief representative for the best practices initiative for logistics streamlining. He did a great job in meeting with contractors and representatives from other components and made this office look good. The group submitted a list of best practices to the Logistics Steering Committee which was well received, and all the recommendations were approved. This was accomplished despite a tight deadline. We anticipate further improvements as a result of the initiative.

CF: Cooperation and Teamwork: Was displayed by Mr. Newton's coordination of this project with other team members.

CF: Communication: Was demonstrated by his constant work with both the team and the office staff.

Rating official assessment and recommended rating

Objective Rating

Weight % (Optional) 40
Job Objective Rating 3,0

Contributing Factor Impact 0,0

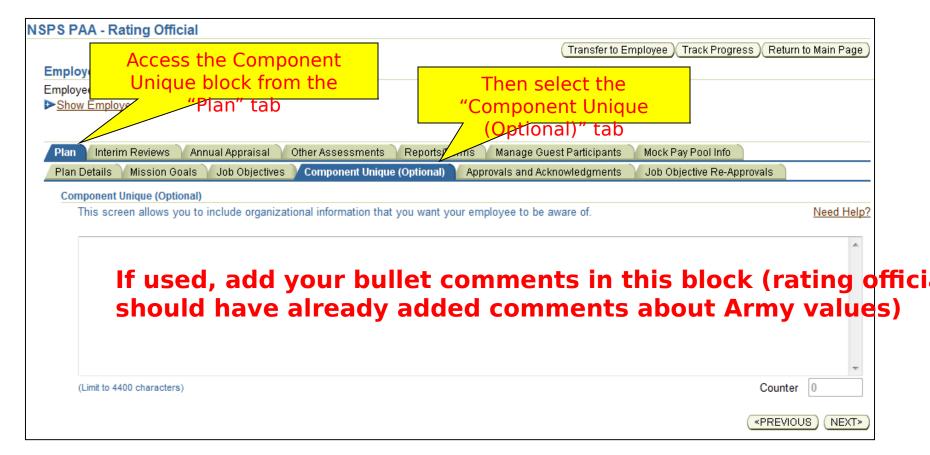
Show Applicable Performance Indicators

Adjusted Weight %
Adjusted Rating 3

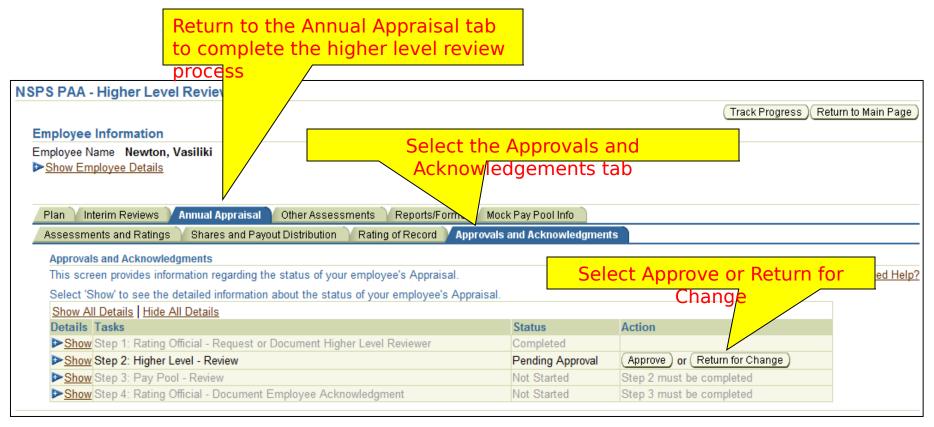
Return to Top of Page

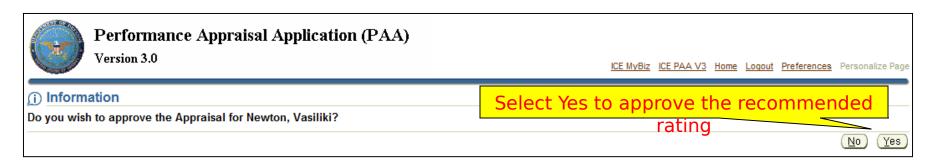
When done reviewing the assessments and rating, select the Return to Top of Page button (or scroll up) and select the next job objective

Optional: Higher Level Reviewers may provide bullet comments on the employee's potential to perform different and/or higher level work in the Component Unique block (AP-SC 1940.9.3.4)



The HLR can either approve the recommended ratings or return appraisal to the rating official (the HLR <u>cannot</u> make changes).





Plans/Apprais	als In Progress	S								
Show Me All Appraisals ▼ Appraisal Year ALL ▼					Choose a Plan Type			▼ Go		
Table Size 10	-									
Employee Name	Current Owner	_	Appraisal Year	Appraisal ID	Plan Approval Date			Current PAA Status	Action	
Newton, Vasiliki	PPM	Wattenberg, Britteny	2010	32536	16-Oct-2008	NSPS	Approved	Pending PPM Approval	View	▼ (G
	New status: Pending PPM Approval									

WARNING: Once the HLR has approved the recommended rating, the appraisal is "owned" by the Pay Pool Manager and no further changes can be made.